

REQUEST FORM AND FORMALITIES PRELIMINARY AMENDMENT FOR FILING A PATENT APPLICATION UNDER 37 C.F.R. 1.53(b) Submit an original and a duplicate for fee processing

510/804223 510/804223 631904

THIS APPLICATION: DOCKET NUMBER

FILING DATE

PRIOR APPLICATION: EXAMINER ART UNIT

TOTAL NO.

P66877US2

March 19, 2004

Leon B. Lankford, Jr.

1651

48

Address to:

Commissioner for Patents P.O. Box 1450 Mail Stop Patent Application Alexandria, VA 22313-1450

RULE 53(f) - NO FEE

This is a request for filing a [X] continuation or [] divisional application under 37 C.F.R. 1.53(b) of prior application Serial No. 10/241797 filed on September 12, 2002, entitled USE OF LACTOBACILLUS SALIVARIUS by the following inventor(s).

	Family Name	First Given Name	Second Given Name	
Full Name	•			
of Inventor	COLLINS	John	Kevin	
		State or	Country of	
	City	Foreign Country	Citizenship	
Residence &	•			
Citizenship	Doughcloyne	Ireland	Ireland	
•	Post Office	Sta	ate & Zip Code/	
	Address	City	Country	
Post Office Address	Spur Hill, Doughcloyr	ne, Ireland		

[X] additional sheet(s) with inventors information attached

	Family Name	First Given Name	Second Given Name	
Full Name	•			
of Inventor	O'SULLIVAN	Gerald	Christopher	
		State or	Country of	
	City	Foreign Country	Citizenship	
Residence &	•	•		
Citizenship	Cork	Ireland	Ireland	
	Post Office	Sta	ate & Zip Code/	
	Address	City	Country	
Post Office				
Address Ba	<u>llinveltig, Curraheer</u>	n Rd., Bishopstown, Cor	rk, Ireland	

	Family Name	First Given Name	Second Given Name	
Full Name				
of Inventor	O'MAHONY	Liam		
		State or	Country of	
	City	Foreign Country	Citizenship	
Residence &	•	•		
Citizenship	Cork	Ireland	Ireland	
•	Post Office	Sta	ate & Zip Code/	
	Address	City	Country	
Post Office			-	
Address 41	Manyilla Estata R	allintample Cark Irolan	d	

<u>Address 41 Maryville Estate, Ballintemple, Cork, Ireland</u>

	Family Name	First Given Name	Second Given Name	
Full Name	• • • • • • • • • • • • • • • • • • • •	*** **		
of Inventor	SHANAHAN	Fergus	·	
		State or	Country of	
	City	Foreign Country	Citizenship	
Residence &				
Citizenship	Kinsale	Ireland	Ireland	
	Post Office	Sta	ate & Zip Code/	
	Address	City	Country	
Post Office				
Address S	eafort, Fort Hill, Kinsa	ale, Ireland		

- 1. [X] A Second Preliminary Amendment is enclosed.
- [X] Cancel claim(s) <u>1-50</u> for purposes of lessening filing fees. 2.

he filing fee is calculated on the basis of the claims existing in the prior application as amended by 1 and 2 above.

CLAIMS

Basic Fee			\$385	\$770
Total Claims	1	- 20 =	x 9 = \$	x 18 = \$
Ind. Claims	1	- 3 =	x 43 = \$	x 86 = \$
[] Multiple Depend Claims	dent		+145 = \$ Total \$385	+ 290 = \$ Total \$

- The Commissioner is hereby authorized to charge fees under 37 C.F.R. 1.16 and 1.17 which may be required or credit any overpayment to Deposit Account No. 06-1358. A duplicate copy of this sheet is enclosed.
- 4. [X] This application is filed under Rule 53(f) and therefore the [X] Filing Fee [] Declaration is not enclosed. All authorization to charge Deposit Account No. 06-1358 for 37 C.F.R. 1.16 fees previously made is revoked.
- 5. [] A check in the amount of \$_____ is enclosed.
- 6. [X] Amend the specification by inserting before the first line the sentence:

This is a Continuation of application Serial No. 10/241797 filed September 12, 2002.

[X] which in turn is a <u>Continuation</u> of application Serial No. <u>09/903590</u> filed <u>July 13, 2001</u>, now abandoned;

which in turn is a Continuation of PCT/IE00/00007 filed January 17, 2000.

- 7. [X] A verified statement claiming small entity status under 37 CFR 1.9 and 1.27:
 - a) [X] was claimed by way of a declaration filed in prior application Serial No. <u>09/903590</u>.
 - b) [] is claimed by way of the attached declaration.
- 8. [X] Priority of application Serial Nos. <u>990033 and 990782</u>, filed on <u>January 15, 1999 and September 20, 1999</u>, respectively both in <u>Ireland</u> is claimed under 35 U.S.C. 119.
 - a) [X] Certified copy is on file in prior application, Serial No. 09/903590.
 - b) [] Certified copy filed herewith.

9.	[X]	The prior application is assigned of record to Enterprise Ireland (trading as BioResearch Ireland) and National University of Ireland, Cork.
10.	[X]	The Power of Attorney in the prior application is to at least one of the following:
		John Clarke Holman, 22,769; Harvey B. Jacobson, Jr., 20,85l; Marvin R. Stern, 20,640; Allen S. Melser, 27,215; Michael R. Slobasky, 26,421; Jonathan L. Scherer, 29,851; Irwin M. Aisenberg, 19,007; William E. Player, 31,409; Yoon S. Ham, 45,307; and Nathaniel A. Humphries, 22,772.
	a) [X	The power appears in the original papers of the prior application.
	b)[]	Since the power does not appear in the original papers, a copy of the power in the prior application is enclosed.
11.	[X]	Petition to extend the life of the above prior application to at least the date hereof
	NOTE	(One box) [X] is being concurrently filed in that prior application. (X'd) [] was previously filed in that application. [] is not necessary.
will ac	t as the	or Extension of Time is necessary and the Petition and/or the check is not enclosed, this e Petition and applicant herewith petitions the Commissioner to extend the time for d charge any fees necessary under 37 CFR 1.17 (a)(1)-(5) to Deposit Account No. 06-
12.	[]	New formal drawings are enclosed.
13.	[X]	Enclosed is a copy of the Information Disclosure Statement (IDS) and PTO 1449 which was filed in Serial No. <u>09/903590</u> , filed <u>July 13</u> , <u>2001</u> which is relied on for an earlier filing date under 35 U.S.C. 120.
	a) []	More than one IDS was filed in the above Serial No. and copies of each IDS, less the documents, are enclosed.
14.	[X]	Enclosed is a copy of the PTO 892 which issued in Serial No. <u>09/903590</u> , filed <u>July 13</u> , <u>2001</u> which is relied on for an earlier filing date under 35 U.S.C. 120.
	a) []	More than one PTO 892 issued in the above Serial No and copies of each PTO 892, less the documents, are enclosed.
15.	[X]	Also enclosed is: Substitute Declaration

Address all future correspondence to:

Customer No. 00136 or JACOBSON HOLMAN PLLC 400 Seventh Street, N.W. Washington, D.C. 20004-2201 Telephone 202/638-6666

Enclosed is a copy of the prior application including the Declaration as originally filed, application, namely Serial No. <u>10/241797</u>, filed on <u>September 12</u>, <u>2002</u>. The entire disclosure of the prior application is hereby incorporated by reference.

Respectfully submitted,

Bv

John C. Holman

Reg. (No. 22,769

JACOBSON HOLMAN PLLC 400 Seventh Street, N.W. Washington, D.C. 20004

(202) 638-6666

Signed this <u>19th</u> day of <u>March</u>, 2004

[X] Attorney or Agent of record

[] Filed under 37 CFR 1.34(a)

JCH-10/03 - 105

REQUEST FORM AND FORMALITIES PRELIMINARY AMENDMENT FOR FILING A PATENT APPLICATION UNDER 37 C.F.R. 1.53(b) Submit an original and a duplicate for fee processing

THIS APPLICATION:		PRIOR APPLICA	ATION:	TOTAL NO.
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	Family Name	First Given Name	Second Given Name	
Full Name	•			
of Inventor	COLLINS	John	Kevin	
		State or	Country of	
	City	Foreign Country	Citizenship	
Residence &	×		*	
Citizenship	Doughcloyne	Ireland	Ireland	
	Post Office	St	ate & Zip Code/	
	Address	City	Country	
Post Office Address	Spur Hill, Doughcloyn	e, Ireland		

[X] additional sheet(s) with inventors information attached

Family Name First Given Name Second Given Name Full Name of Inventor O'SULLIVAN Gerald Christopher State or Country of Citizenship Foreign Country City Residence & Citizenship Cork Ireland Ireland Post Office State & Zip Code/ City Address Country Post Office Address Ballinveltig, Curraheen Rd., Bishopstown, Cork, Ireland

	Family Name	First Given Name	Second Given Name	
Full Name				
of Inventor	O'MAHONY	Liam		
	•	State or	Country of	
	City	Foreign Country	Citizenship	· · · · · · · · · · · · · · · · · · ·
Residence &	•	· · · · · · · · · · · · · · · · · · ·		
Citizenship	Cork	Ireland	Ireland	
	Post Office	Sta	ite & Zip Code/	
	Address	City	Country	
Post Office				
Address 41	Maryville Estate, Bal	lintemple, Cork, Ireland	d	

	Family Name	First Given Name	Second Given Name	
Full Name	<u>-</u>			
of Inventor	SHANAHAN	Fergus		
		State or	Country of	
	City	Foreign Country	Citizenship	
Residence &	x			
Citizenship	Kinsale	Ireland	Ireland	
	Post Office	Sta	ate & Zip Code/	
	Address	City	Country	
Post Office				
Address	Seafort, Fort Hill, Kins	sale, Ireland		

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Respectfully submitted,

Bv

John C. Holman

Reg. (No. 22,769

JACOBSON HOLMAN PLLC

400 Seventh Street, N.W.

Washington, D.C. 20004

(202) 638-6666

Signed this <u>19th</u> day of <u>March</u>, 2004

[X] Attorney or Agent of record

[] Filed under 37 CFR 1.34(a)

JCH-10/03 - 105